

UNIVERSITY OF NOTRE DAME ATHLETICS



ATHLETICS COMPLIANCE OFFICE AGENT REGISTRATION FORM

GENERAL (Please print or type)

Complete and return this form, along with an accompanying headshot photograph and any associated attachments. Companies with multiple applications must complete and return a form, with photo and attachments, for each person applying.

Name: _____	_____	_____	_____
	Last	First	Middle
Agency / Firm _____	_____	Agency Website _____	_____
Mailing Address _____	_____	_____	_____
Work Phone _____	Cell Phone _____	Fax _____	Email _____
Specific Notre Dame sport of interest with gender: _____	_____	Specific Notre Dame Student-Athlete(s) of interest _____	_____

LAWYERS AND LAW SCHOOL GRADUATES (Non-lawyers, proceed to next section)

Bar Admissions: Please list all states in which you have been admitted to practice law and any applications you have pending. Attach additional sheet(s), if necessary.

Jurisdiction: _____ Status: _____ Date: _____

Jurisdiction: _____ Status: _____ Date: _____

Discipline: Indicate whether you have ever been *disbarred, suspended, reprimanded, censured, or otherwise disciplined or disqualified* as an attorney.
YES NO

If yes, please provide the dates and actions taken, the imposing authority, and its address.

Are there any charges or complaints currently pending against you regarding your conduct as an attorney?
YES NO

If yes, please provide the charge/complaint pending, authority considering the complaint, and its address.

PLAYERS' ASSOCIATION REGISTRATIONS/CERTIFICATIONS

Check all that apply and enter requested dates. Attach a copy of your current, valid registration for each organization selected.

Major League Baseball Players' Association (MLBPA)	Effective Date: _____	Expiration Date: _____
National Basketball Players' Association (NBAPA)	Effective Date: _____	Expiration Date: _____
National Football League Players' Association (NFLPA)	Effective Date: _____	Expiration Date: _____
National Hockey League Players' Association (NHLPA)	Effective Date: _____	Expiration Date: _____
Women's Basketball Players' Association (WNBAPA)	Effective Date: _____	Expiration Date: _____
International Basketball Federation (FIBA)	Effective Date: _____	Expiration Date: _____
Federation Internationale de Football Association (FIFA)	Effective Date: _____	Expiration Date: _____
Other: _____	Effective Date: _____	Expiration Date: _____

Have you ever been disciplined or cited for a violation of a players' association regulation governing athlete agents?
YES NO

If yes, please attach an additional sheet(s) providing a detailed explanation including the nature of the charge; date of the alleged violation; and result or status of the investigation, including action taken, and the authority imposing the action.

INDIANA ATHLETE AGENT REGISTRATION

All agents applying for registration to communicate with Notre Dame student-athletes must be registered with the State of Indiana. A copy of such registration, or a notice of pending registration, must be included with this application.

I am registered as an athlete agent with the State of Indiana.

My application is pending approval by the State of Indiana.

Citations:

Have you ever been disciplined or cited for a violation of any statute regulating athlete agents?

YES

NO

If yes, please provide a detailed explanation including the nature of the charge, date of the alleged violation, and result or status of the investigation, including action taken, and the authority imposing the action. Attach additional sheet(s) if necessary.

ADDITIONAL BACKGROUND

If answering yes to any of the following, attach complete information for each occurrence or charge, incident, or declaration, including dates, results, status, and authority overseeing the action.

1. Have you ever been involved in or investigated for allegedly participating in actions violating NCAA, conference, university, college, players' association, league, team, or federation rules?
YES NO
2. Have you ever been convicted or pled guilty to a criminal charge other than a minor traffic offense?
YES NO
3. Have you ever been a defendant in civil proceedings involving allegations of fraud, misrepresentation, embezzlement, misappropriation of funds, conversion, and breach of fiduciary duty, forgery, or legal malpractice?
YES NO
4. Have you ever been suspended or expelled from any college, university, law school, or graduate school?
YES NO
5. Have you ever been declared bankrupt or been an owner or part owner of a business that was declared bankrupt?
YES NO
6. Have you ever been suspended, reprimanded, censured, or otherwise disciplined or disqualified as a member of any professional organization or as a public office holder?
YES NO

ADDITIONAL ATTACHMENTS

Please attach the following information:

1. Current Resume/Curriculum Vitae, including, but not limited to, the following information: educational background; employment history; memberships to relevant professional organizations; occupational/professional licenses, date(s) obtained, and state(s) where issued.
2. A list of your current clients and the names of at least two clients who have terminated their relationship with your agency. Include the client(s) name(s), sport(s), and the applicable dates of service.
3. Information regarding the business services your company offers to athletes. Include the following information and any other relevant information: a copy of your standard representation contract; a detailed list of all services offered to athletes; whether separate contracts are used for each service; whether/how you manage your clients' funds; whether you are bonded and related details; whether you are registered under the Investment Advisor's Act; whether you refer athletes to others for services (e.g. financial planning, disability insurance) and any and all related details, including referral fees received; and a detailed explanation of your fee structure.
4. A current list of runners, financial advisors, and other individuals directly involved in securing clients.

UNIVERSITY OF NOTRE DAME AGENT REGISTRATION AGREEMENT

This University of Notre Dame Athletics Compliance Office Agent Registration Form will not be processed until all of the required information has been provided. (You may also send any promotional materials that you would like to have included in your file accessible by Notre Dame student-athletes, coaches, and staff).

I have attached to this application all of the required attachments requested throughout this form.

I certify that the information provided in this University of Notre Dame Athletics Compliance Office Agent Registration Form is true, accurate, and complete to the best of my knowledge.

I agree to be bound by and conform to Indiana State law, NCAA rules, any other applicable laws, and any Notre Dame team policy(ies) that may apply to my interaction(s) with a Notre Dame student-athlete(s). I understand that failure to comply with any of the aforementioned authorities may result in initiation of legal proceedings by the University of Notre Dame against me and the assessment of civil and/or criminal penalties.

I certify that I have not engaged in, nor will engage in, any activity that could jeopardize a student-athlete's collegiate athletics eligibility.

Further, I certify that I will notify the University of Notre Dame Athletics Compliance Office before making contact (or directing someone to make contact on my behalf) with a Notre Dame student-athlete who has eligibility remaining in any sport and is enrolled at the University of Notre Dame or before making contact (or directing someone to make contact on my behalf) with the student-athlete's family and friends. I will also notify the Athletics Compliance Office should any of the information contained in this application change at any point in the future.

I understand that the information contained herein is public in nature and may be provided by the University of Notre Dame to its student-athletes, coaches, staff, faculty, families, and others.

Applicant Name (print)

Applicant Signature

Date

Return Completed University of Notre Dame Athletics Compliance Office Agent Registration Form to:

University of Notre Dame
Athletics Compliance Office
Attn: Agent Registration
113 Joyce Center
Notre Dame, IN 46556

Notre Dame Athletics Compliance Office Certification:

Approved

Date